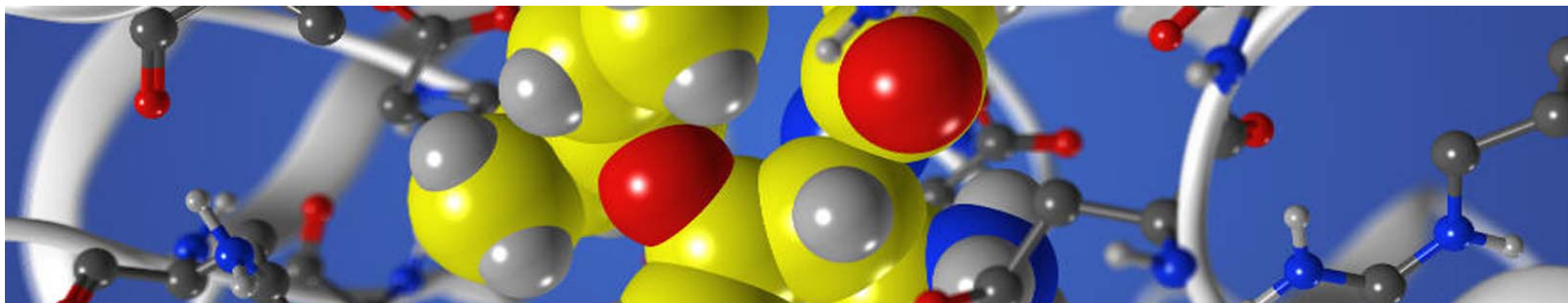

Challenges and Opportunities for Oral Delivery of Poorly Soluble Drugs

Dr. Navnit Shah

Distinguished Scientist

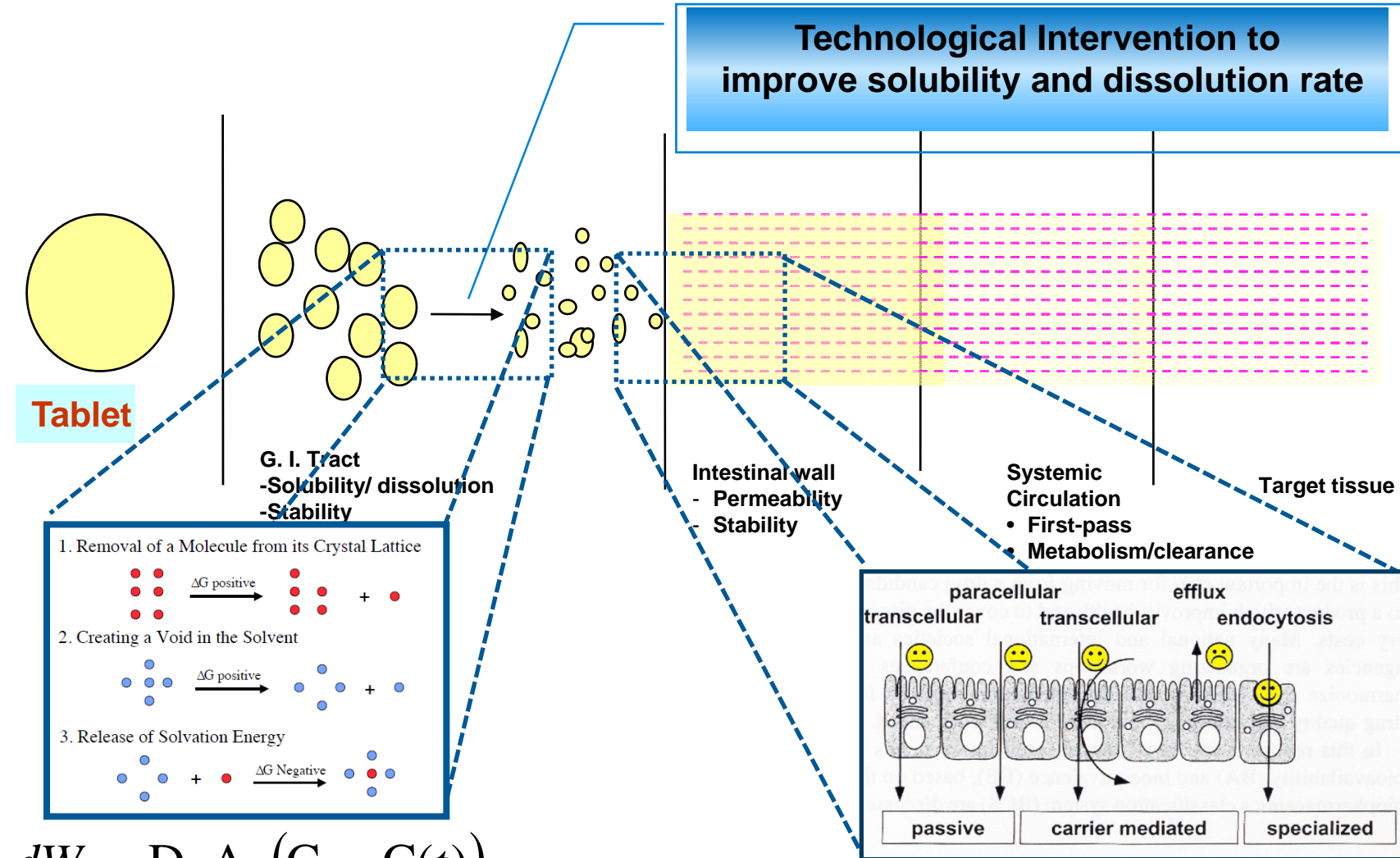
Hoffmann-La Roche, Inc.



Outline

- Overview of Industry Today
 - Sources of Oral Bioavailability Limitations
 - Market Trends
- Challenges & Opportunities for Poorly Soluble APIs
 - Impact of low solubility in development
 - Case studies of successful development
- Technologies and Limitations for Handling Poorly Soluble Compounds
 - Emerging Opportunities to Improve Amorphous Development
- Future Direction and Concluding Comments

Sources of Bioavailability Limitations



$$\frac{dW}{dt} = \frac{D \cdot A \cdot (C_s - C(t))}{L}$$

Noyes-Whitney Equation

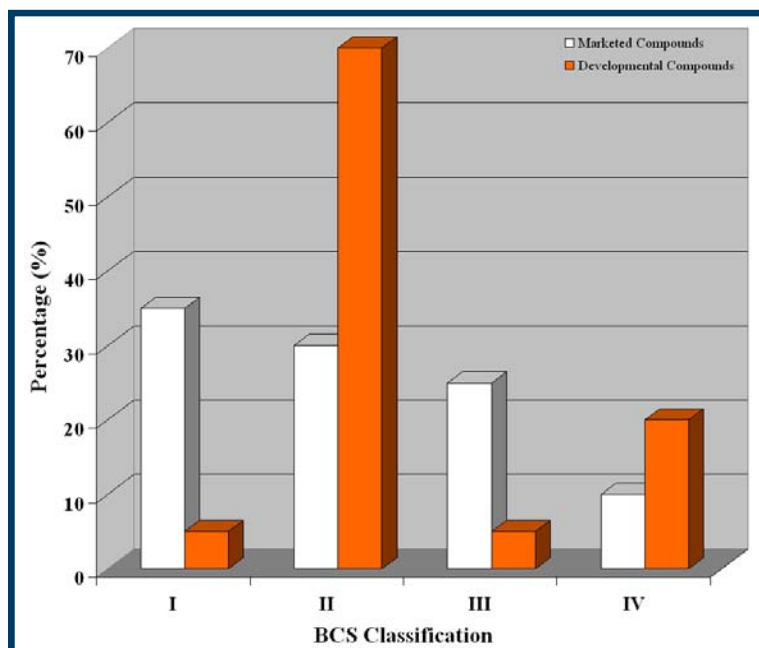
$$MAD = K_a \cdot C(t) \cdot V_{SI} \cdot t$$

Maximum Absorbable Dose

Solubility Trends & Developmental Pipelines



Compound Trends

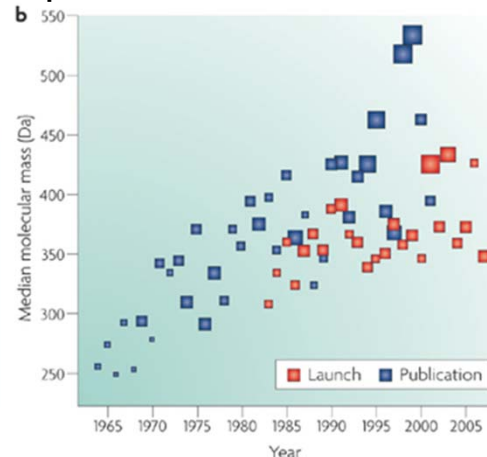
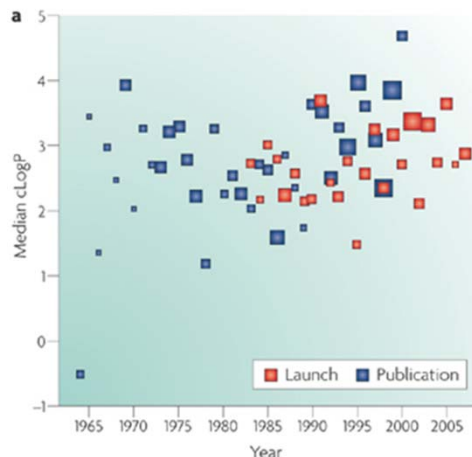


Lipinski's Rule of 5

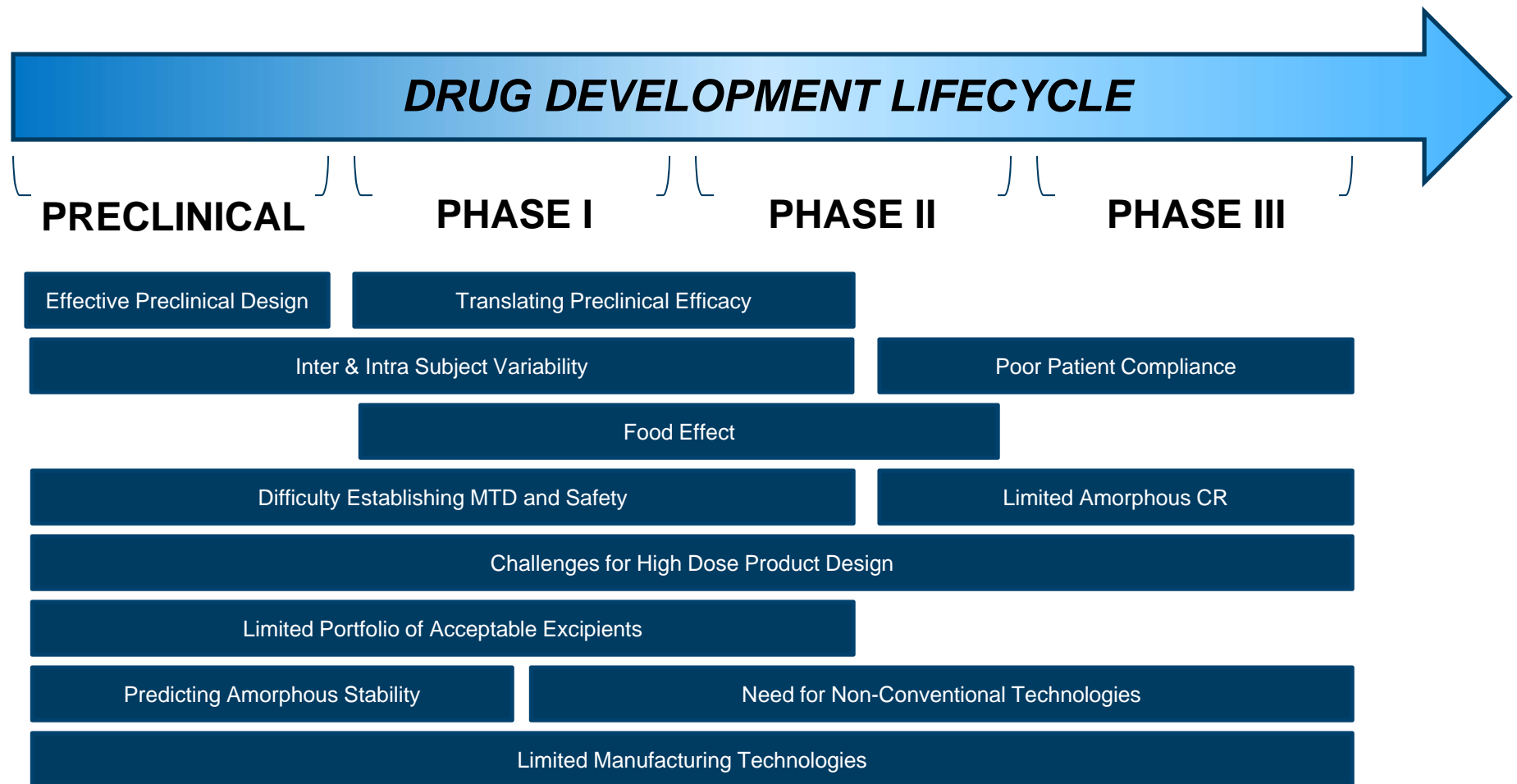
- Predictor of Limited Oral Bioavailability
 - Molecular Weight > 500
 - Log P > 5
 - H-Bond Donors > 5
 - H-Bond Acceptors > 10
- Examples Meeting The Rule of 5
 - Cyclosporine
 - Itraconazole
 - Ritonavir
 - Lopinavir

592 oral drugs approved worldwide between 1983 and 2007

The size of the squares represents the mean Lipinski score



Low Solubility Drug Development Challenges

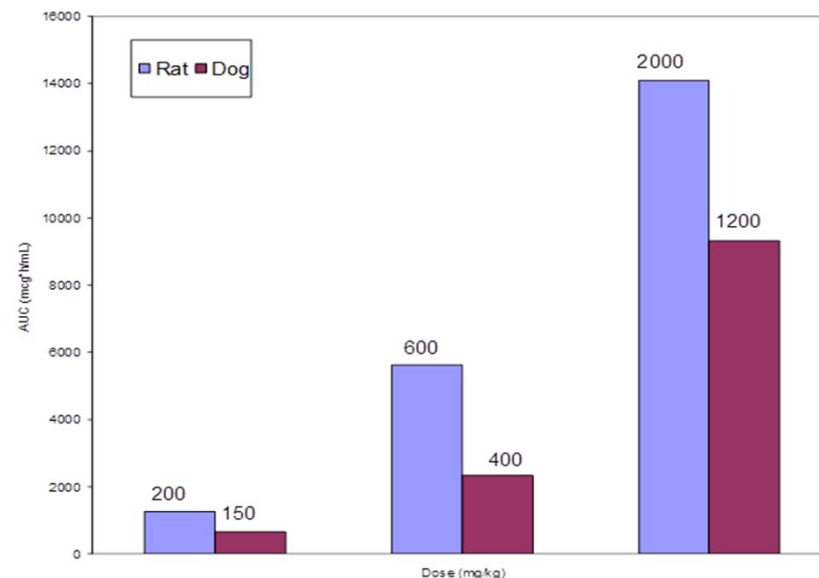
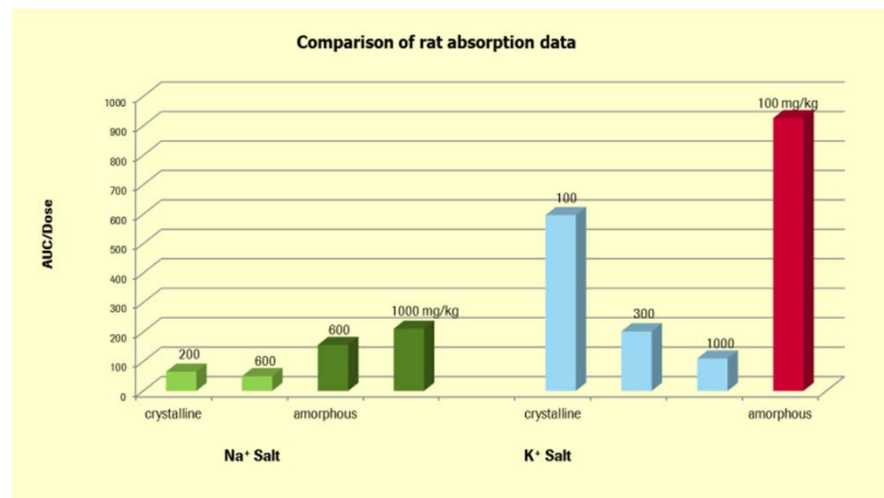


- Low solubility can present major challenges to the successful development of NCEs
- The nature of the challenges change as the program progresses through clinical development

Solubility Driven Challenges in Preclinical Development

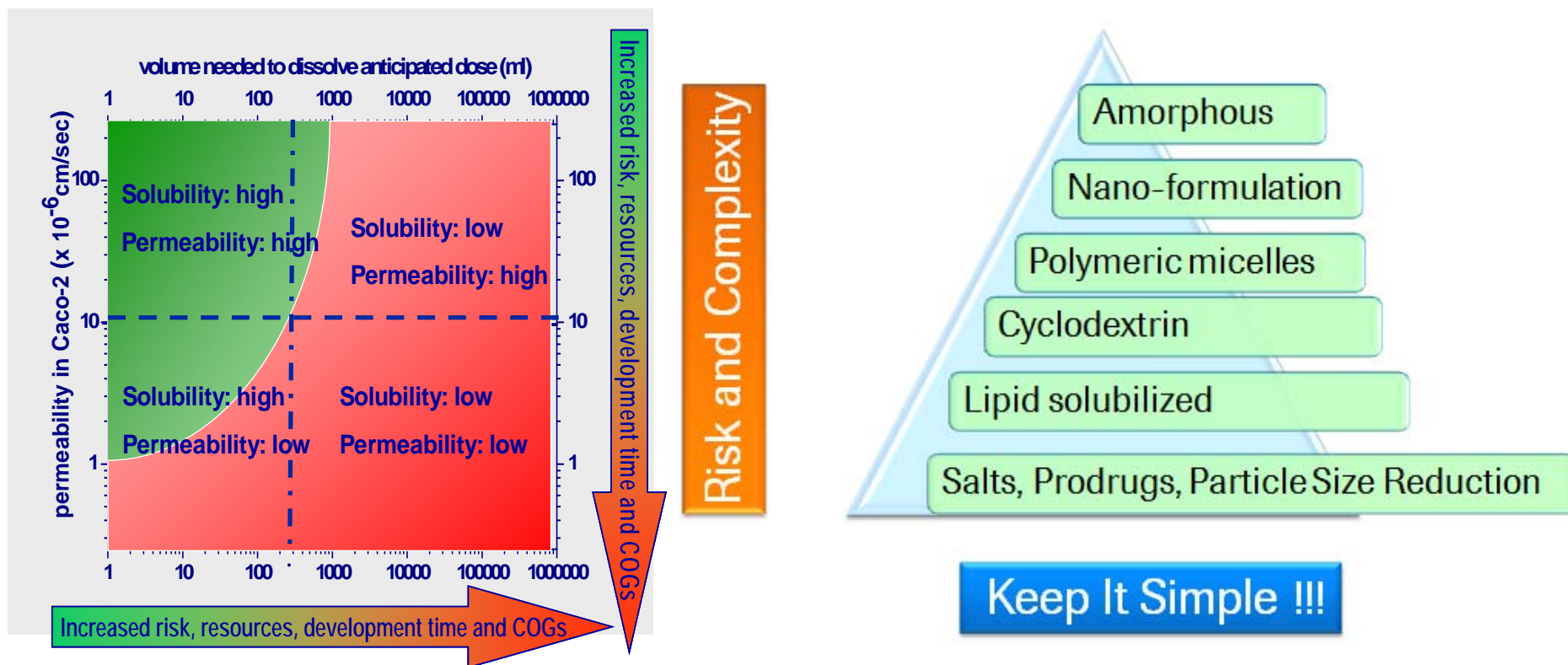


- Adequate solubility needed for potency and safety assays and must be considered during design and execution of *in vitro* assays
 - ❖ Compounds with poor solubility have the potential to precipitate in assay media/buffer.
 - ❖ DMSO stock solutions of poorly soluble compounds have the potential to precipitate during freeze thaw cycles.
 - ❖ Assay media greatly impacts solubility
- Adequate solubility is needed for *in vivo* studies at all stages leading to EIH
 - ❖ To achieve optimal exposure in PK/PD studies to get proof of concept (POC) in appropriate animal models for project to move to the next stage
 - ❖ Multiple fold exposure is required for safety studies in preclinical tox species
 - ❖ Salt forms or special formulation are needed to achieve the desired exposure
 - ❖ To achieve the exposure in human studies
- Future Challenges
 - ❖ Design and development of technologies and compositions to support early development work with limited API supply
 - ❖ Optimization of *in silico* methods to improve computer based design
 - ❖ New materials for achieving maximum exposure (multiples over anticipated dose)



Options for Improving Solubility

Compound & Technology Risk Mapping



- Low solubility compounds are inherently more challenging to develop, raising the risk of failure
- Many technologies can address low solubility but also present trade-offs

Current Success Stories

Tricor[®] - Formulation Intervention to Improve Delivery



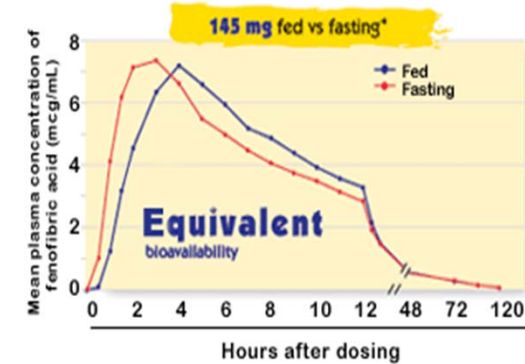
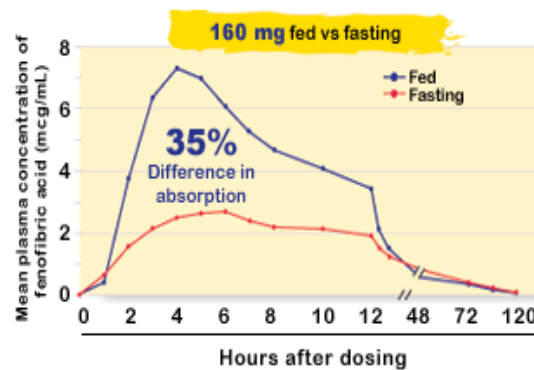
200 mg Capsule
▪ Milled fenofibrate



160 mg Tablet
▪ Micronized fenofibrate



145 mg Tablet
▪ NanoCrystal fenofibrate



Current Success Stories

Neoral® - Formulation Intervention to Improve Delivery and Extend Market Protection



Before



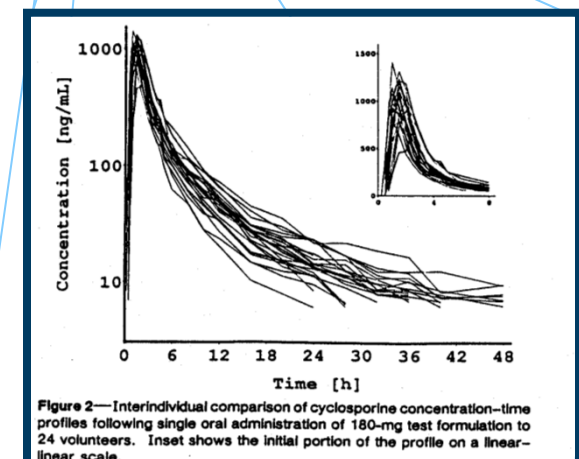
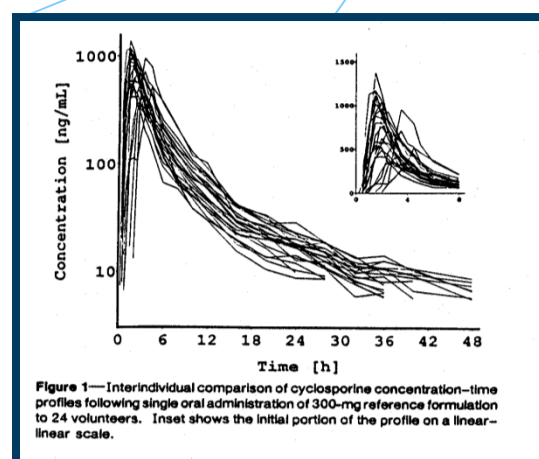
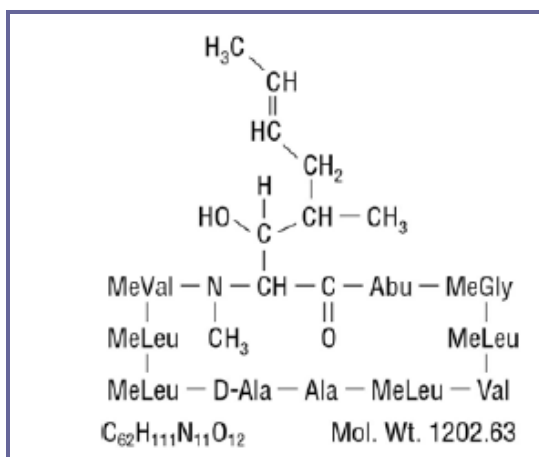
After Dilution



API

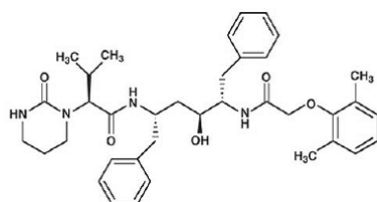
Sandimmune™

Neoral™

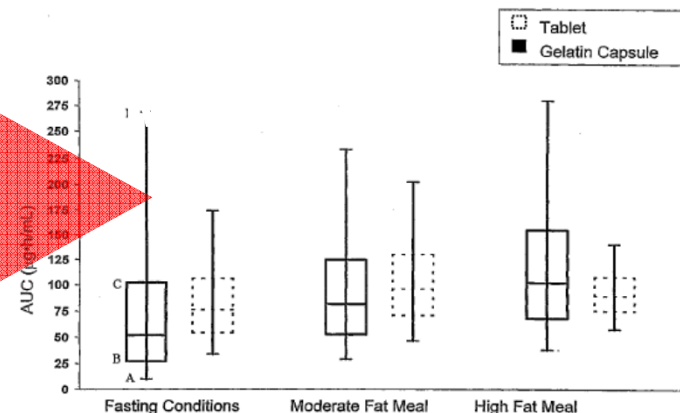


Current Success Stories

Kaletra[®] - Amorphous Dispersion for Improve Delivery



Hot-melt extrusion



Rosenberg et al. Patent # WO 2006/091529 A2

Kaletra Soft Gelatin Capsule

- Dose per unit:
 - 133 mg lopinavir/33 mg ritonavir
- Dose administration:
 - t.i.d. with food
- Refrigerated storage required



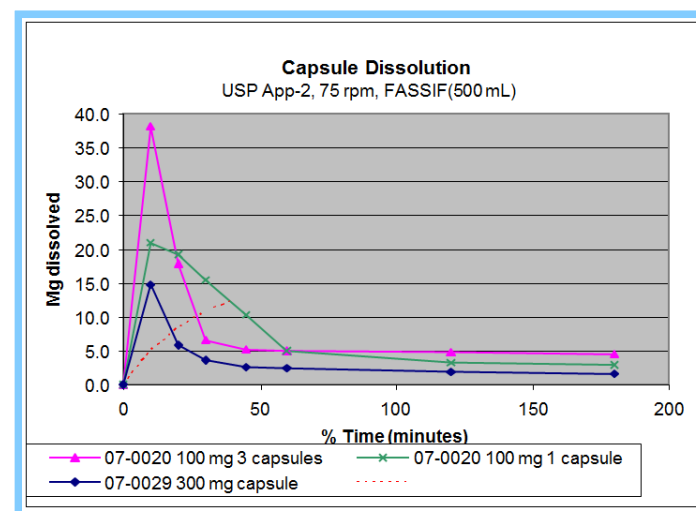
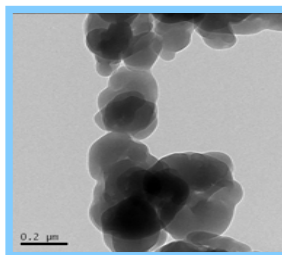
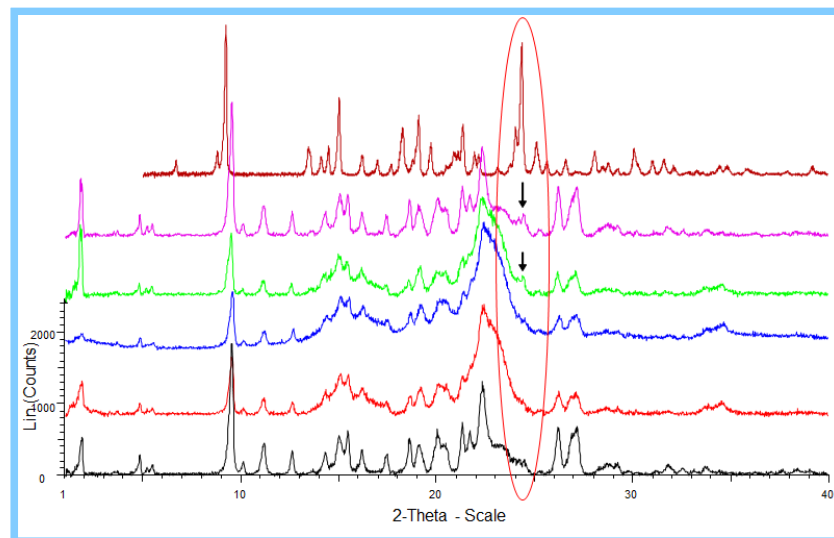
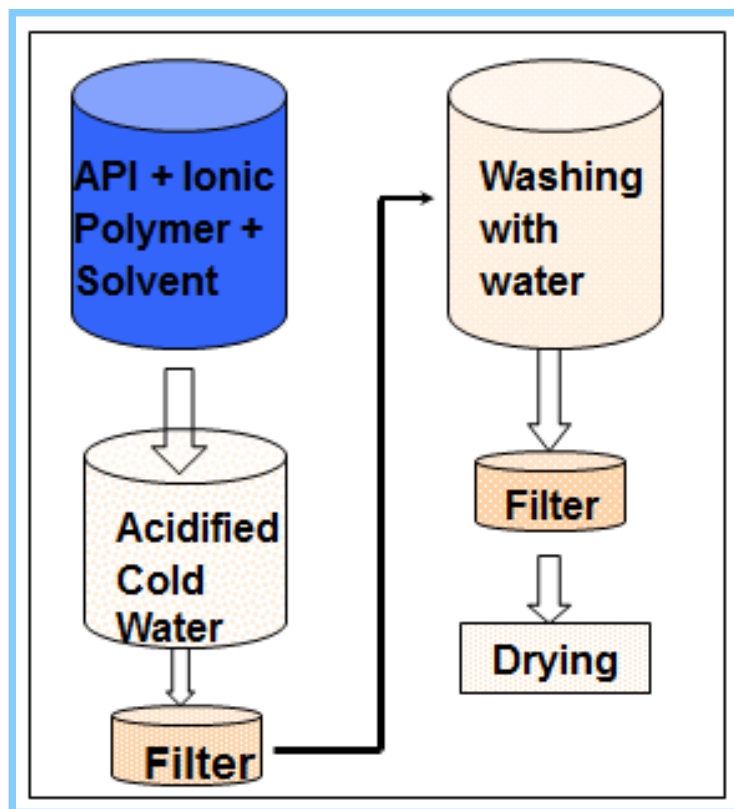
Kaletra Tablet

- Dose per unit:
 - 200 mg lopinavir/50 mg ritonavir
- Dose administration:
 - b.i.d. independent of food
- Store at ambient conditions



Current Success Stories

Zelboraf[®] - Molecule to Medicine with Novel Technology



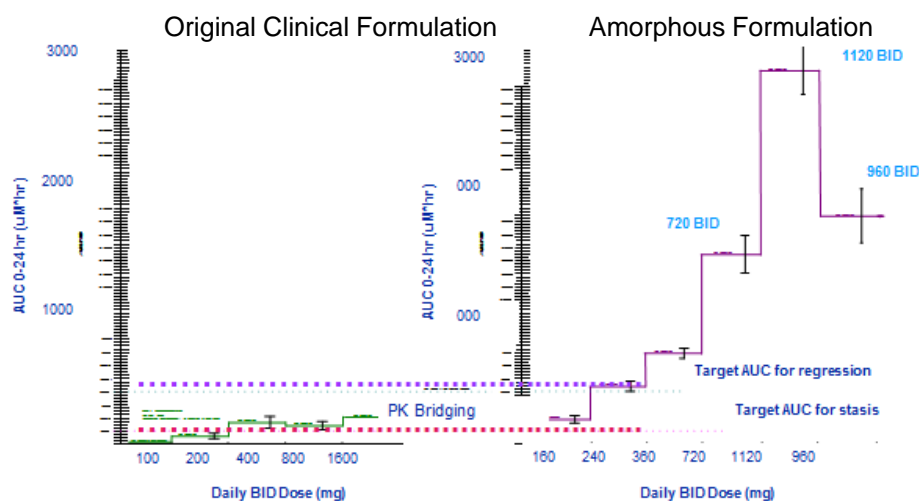
- **Poor Solubility >>>> Poor Bioavailability**
- Polymorphic Transformation (metastable Form I to stable Form II) >>>> Clinical Supply Stock-out Situation
- High Dose >>>> Patient Dosing Convenience

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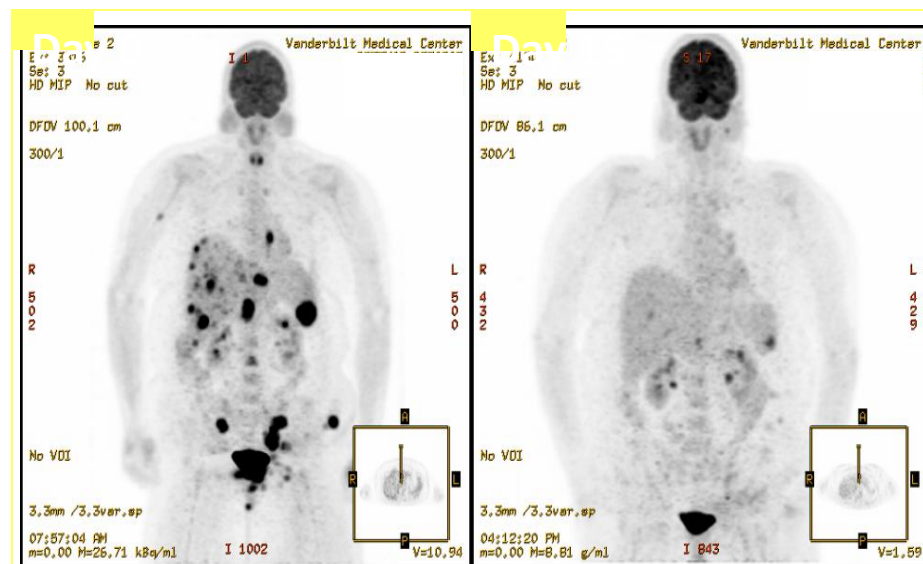
Current Success Stories

Zelboraf® - Making a Difference in Therapy

Bioavailability Comparison

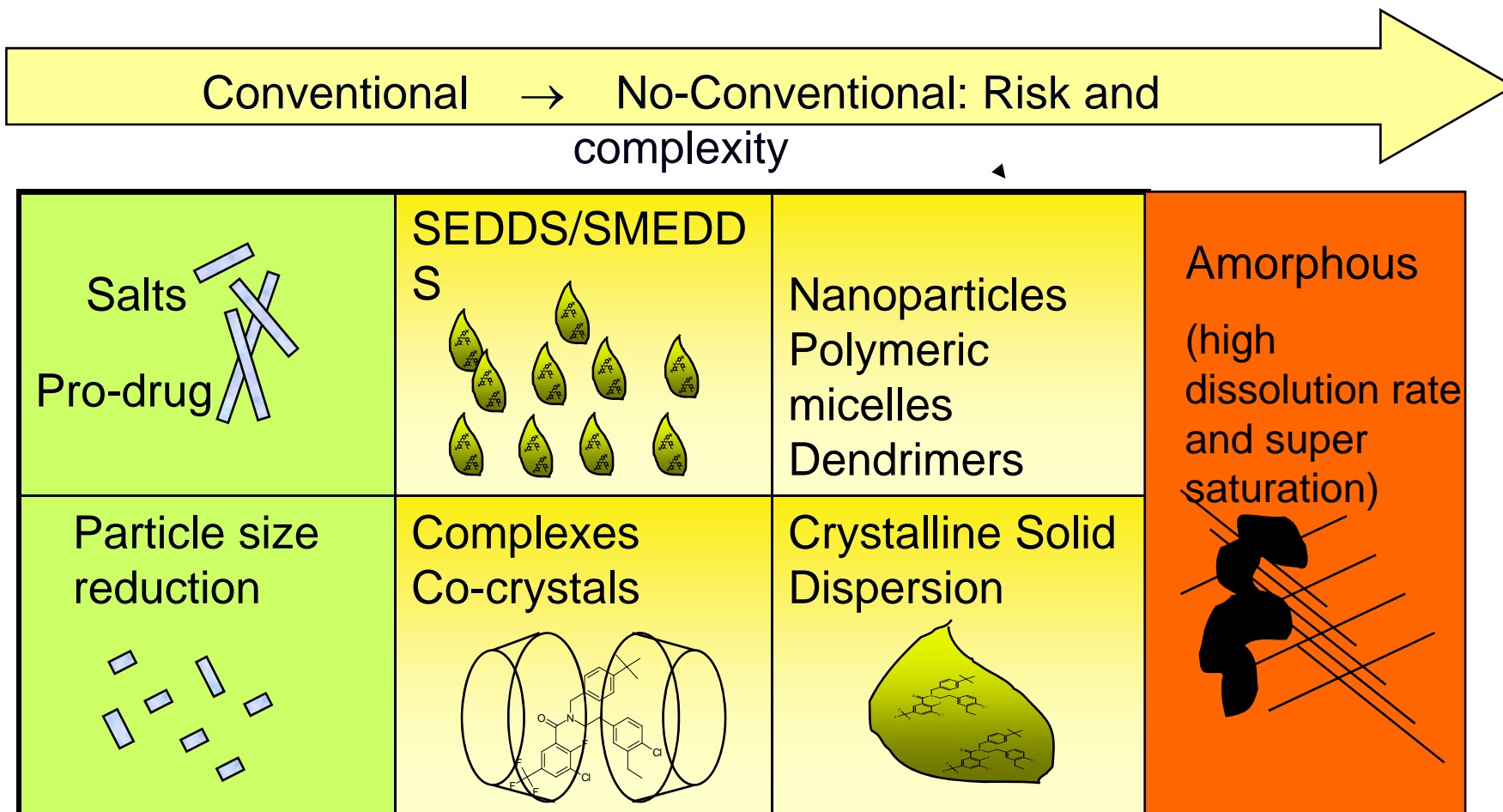


Treatment Results in Tumor Regression



- Development of an amorphous formulation enabled a molecule which could otherwise not be delivered → Life saving benefit to patients in need
- Successful implementation of new technology led to commercial product

Oral Formulations Approaches for Poorly Water Soluble Compounds (BCS 2/4 compounds)



Need for amorphous formulation has significantly increased

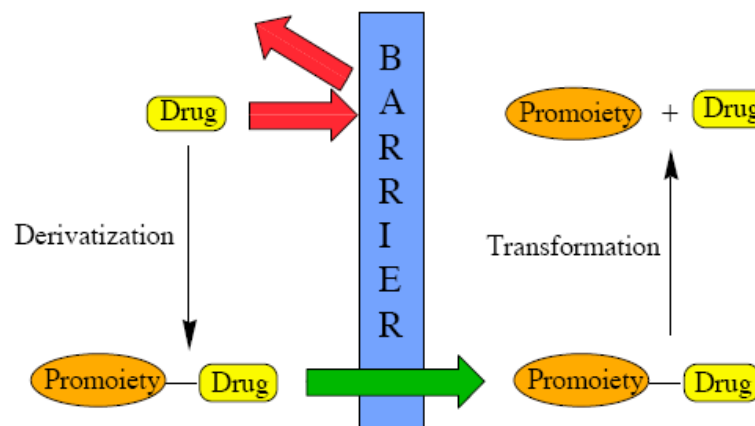
Technologies to Improve Solubility

PRODRUGS



Chemical approach using reversible derivatives that is pharmacologically inert

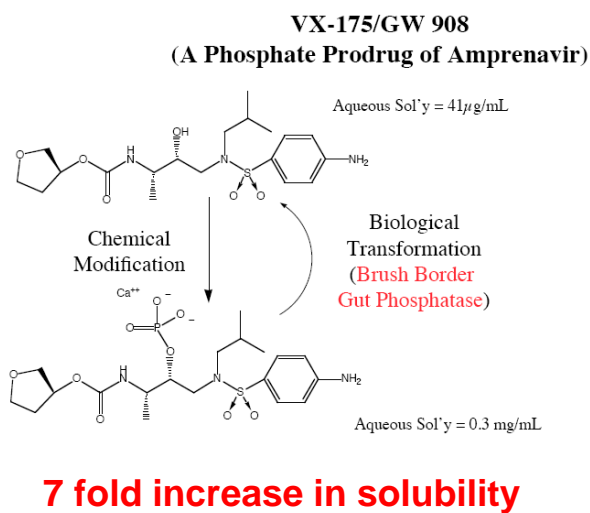
Successfully applied to a number of commercially marketed products



Prodrugs represents a Chemical/Biochemical approach to the Optimization of Drug Delivery

Advantages

- NCE, Patentable
- Enhanced biopharmaceutical performance

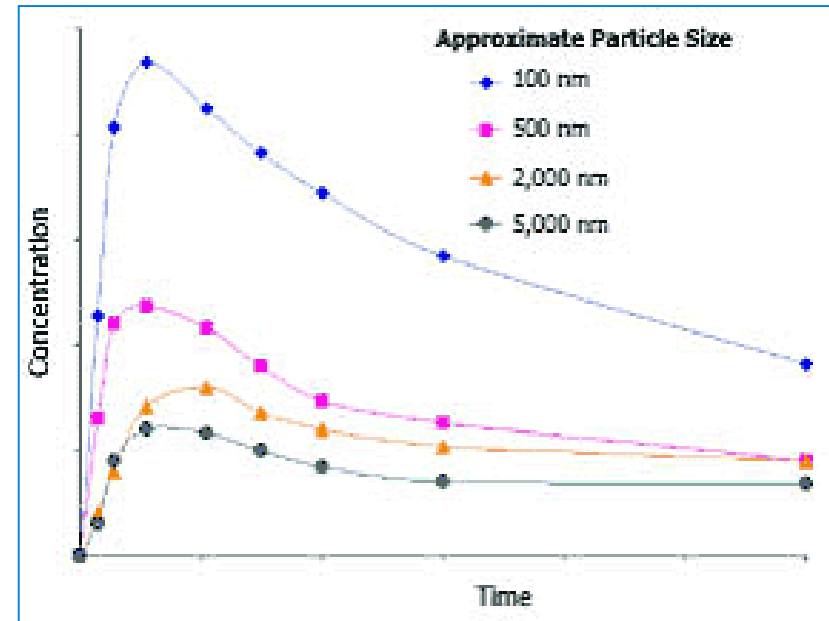
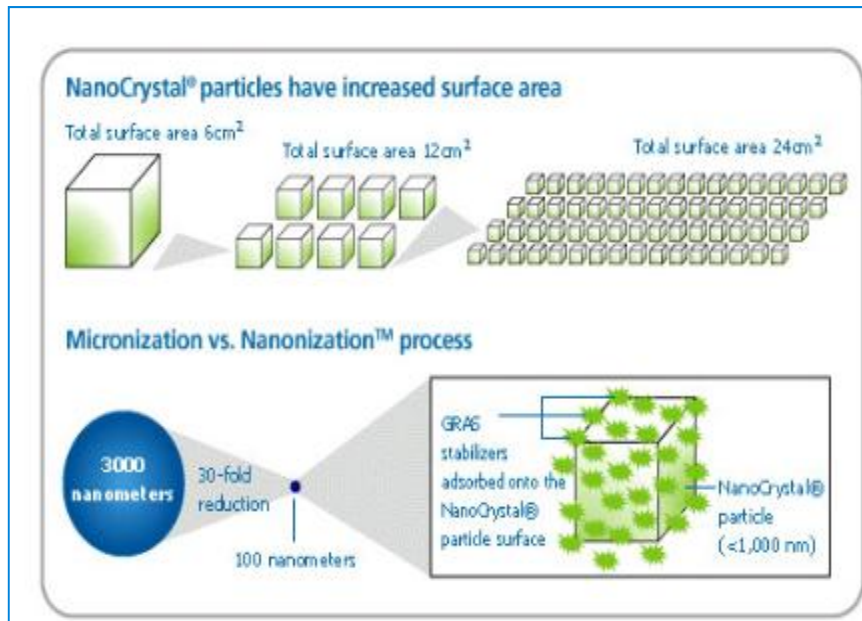


Opportunities

- Reducing development cost
- Site targeted prodrug design
- Expanding chemistries

Technologies to Improve Solubility

PARTICLE SIZE REDUCTION



Advantages

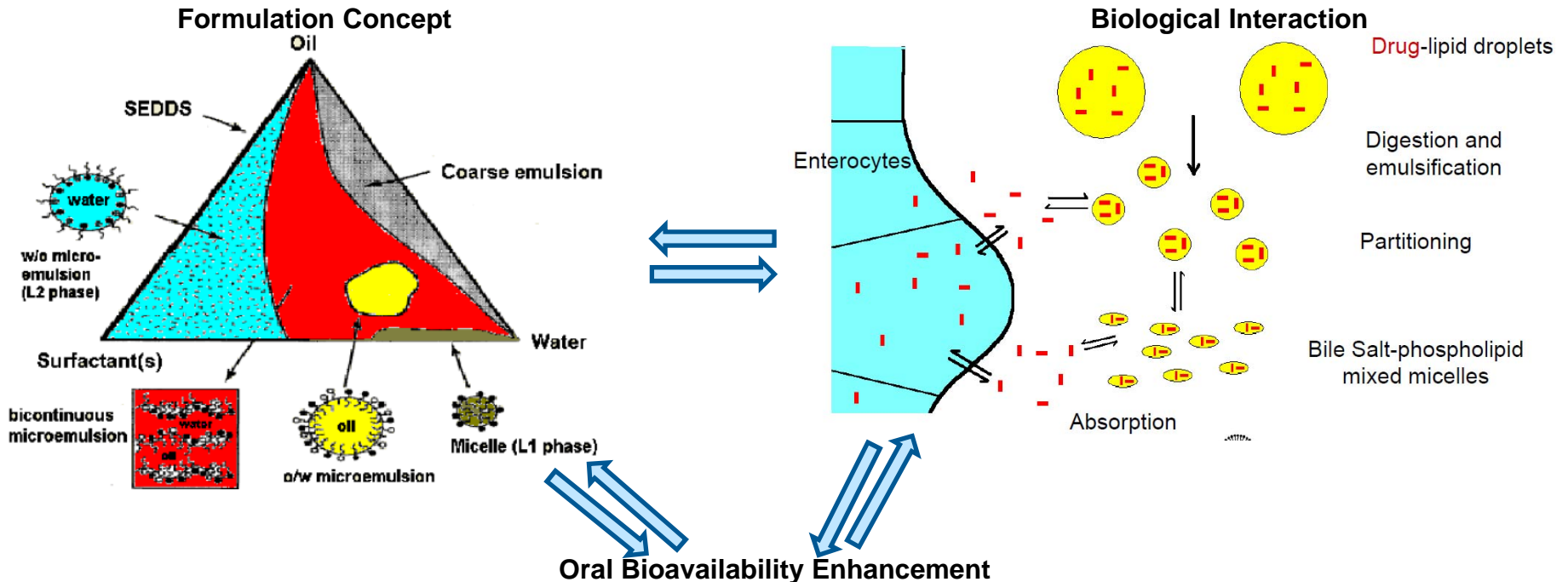
- **Improve exposure** – reduce dose
- **Faster onset of action** – improve efficacy
- **Minimize variability** – improve efficacy and decrease toxicity
- **Reduce/eliminate food effect** – improve convenience and compliance

Opportunities

- **Need for more advanced MFG technologies** – Imprinting, Templating, etc...
- **Expansion of nanotechnology into drug-device hybrid products** – MEMs technology
- **Lower cost of goods for manufacturing** – Current technologies are expensive, proprietary and time consuming

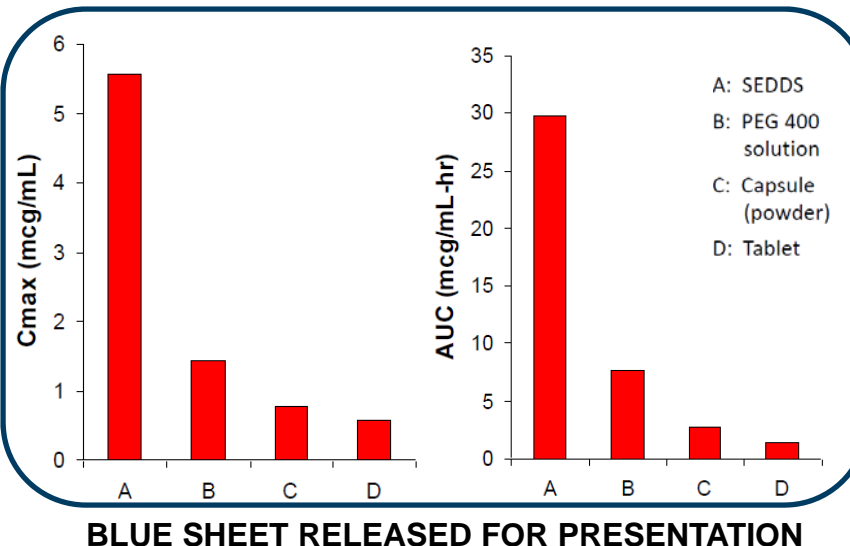
Technologies to Improve Solubility

LIPID FORMULATIONS



Advantages

- Reduced food effect
- Permeability enhancement
- Liquid nature provides for ease of scale-up



Opportunities

- Expansion of materials to support formulation development
- New technologies to improve manufacturability

Technologies to Improve Solubility

CYCLODEXTRINS

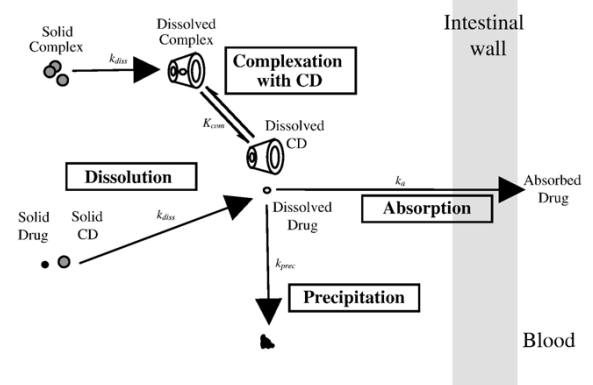
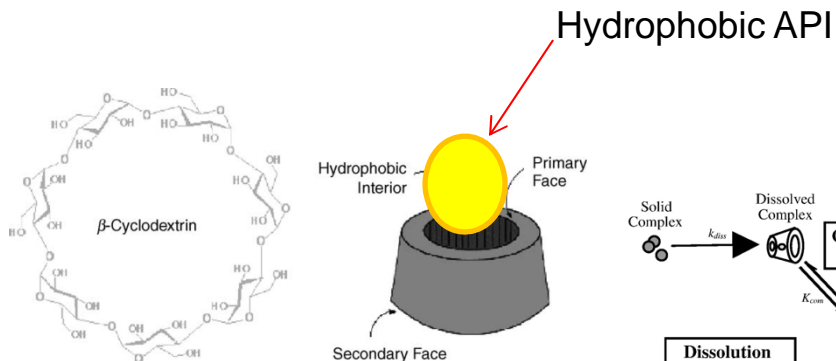


Cyclodextrins

Oligosaccharides (6 or more glucopyranose units)

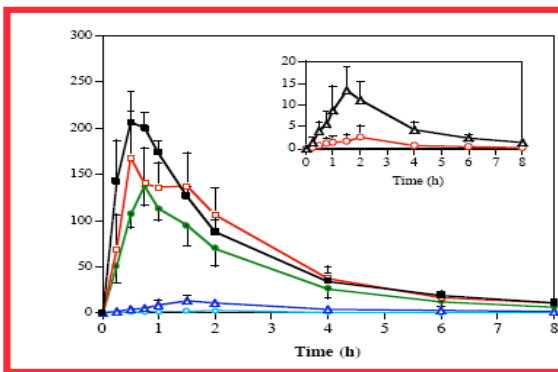
Forms inclusion complexes with drugs

- Steric
- Thermodynamic interactions



Advantages

- Enhanced drug delivery through biological membranes
- Increased stability



Plasma Concentration (\pm S.E.)
Versus Time Profile of
Cinnarizine After a 25 mg Dose
to Male Beagle Dogs (n=4),
SBE4- β -CD, pH 4.5 Solution
(\square); HP- β -CD, pH 4.5 Solution
(\blacksquare); SBE4- β -CD, Capsule (\bullet);
pH 4.5 Aqueous Suspension (Δ);
Plain Capsule - No SBE4- β -CD
(\circ)

Opportunities

- Improve stability of cyclodextrin in the intestinal environment

From: Javinen et al. *J. Pharm. Sci.*, 84, 295-299 (1995)

Del Valle et al., *Process Biochem.*, (2003) Carrier et al. *J. Control. Release.* 123, 78-99. (2007)

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Technologies to Improve Solubility

POLYMERIC MICELLES

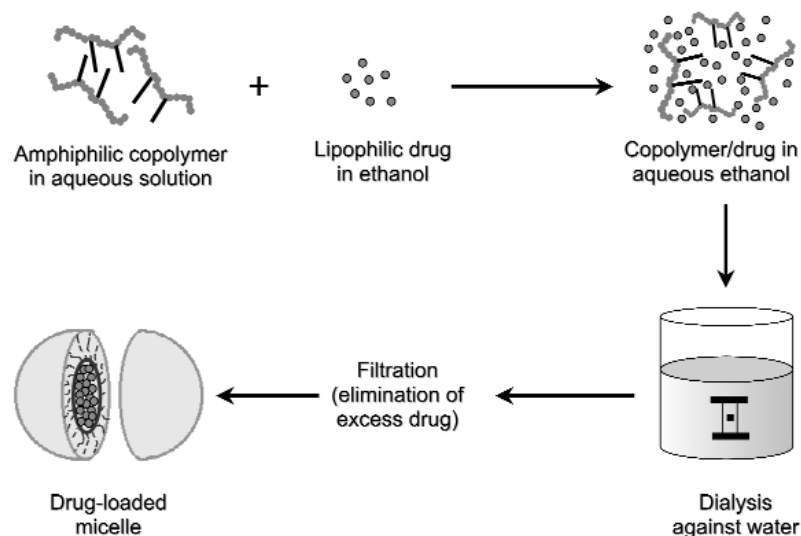


Self-assembling amphiphilic polymer

(i.g. poly(ethylene oxide)-*b*-poly(L-amino acid)

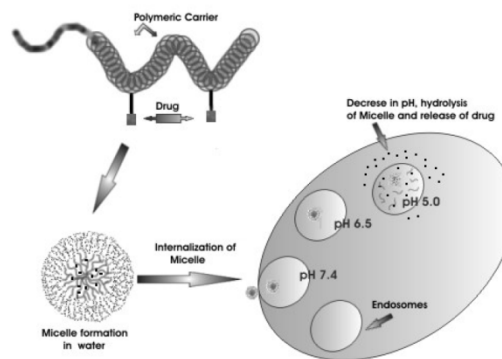
(PEO-*b*-PLAA)) forms micelles (< 100 nm)

- Provides sites for attachment of drugs
- Better kinetic and thermodynamic stability than surfactant based micelles



Advantages

- Stays unrecognized during blood circulations
- Extended circulation time
- Lower toxicity



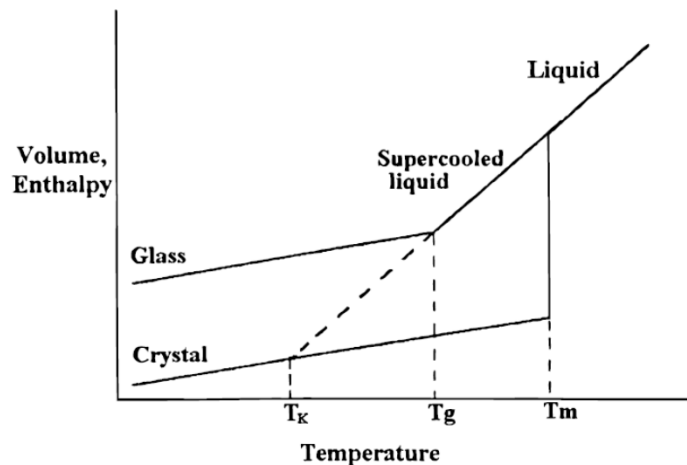
Opportunities

- Loading efficiency

Technologies to Improve Solubility

AMORPHOUS TECHNOLOGIES

THERMODYNAMIC PROPERTIES



SOLUBILITY ADVANTAGE

ACHIEVING SUPERSATURATION

PARTICLE SIZE REDUCTION

$$S = S_{\infty} e^{\left(\frac{2\gamma M}{r\rho RT}\right)}$$

THERMODYNAMIC CONTROL

$$\Delta G = \Delta H - T\Delta S$$

STABILIZING SUPERSATURATION

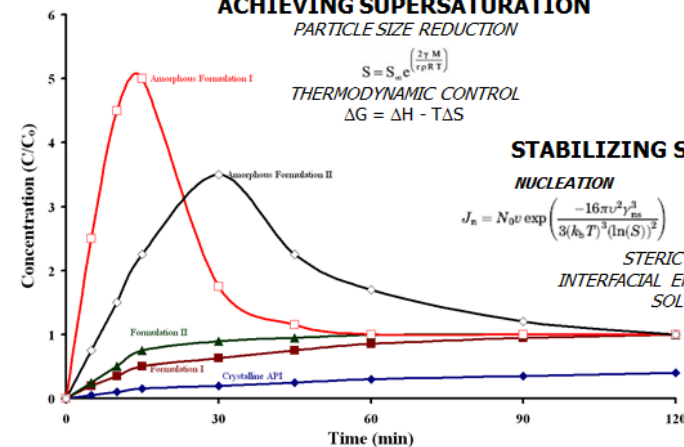
NUCLEATION

$$J_n = N_0 v \exp\left(\frac{-16\pi\gamma^3 v^2}{3(k_b T)^3 (\ln(S))^2}\right)$$

GROWTH

$$\frac{dr}{dt} = \frac{DvN_A}{r + D/k_r} (C - C_{eq})$$

STERIC HINDERANCE
INTERFACIAL ENERGY MODIFICATION
SOLUBILIZERS



Advantages

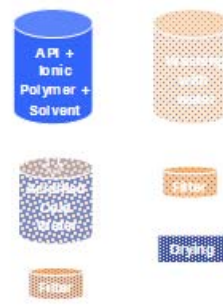
- Supports solid dosage form
- Continuous manufacturing
- Potential for greater exposure than other technologies

AMORPHOUS MANUFACTURING



Spray Drying (SDD)

- Solvent evaporation
- Low boiling solvent



Microprecipitation (MBP)

- Solvent/Antisolvent
- Enables high BP solvent



Hot Melt Extrusion (HME)

- Temp. and shear
- Non-solvent



Fluid-bed layering (FBL)

- Drug/polymer layering
- Solvent evaporation
- Low boiling solvent

Opportunities

- Develop predictive tools for dispersions
- New materials to improve exposure and drug loading
- New technologies to improve manufacturing

Examples of Commercial Products Using Amorphous API or ASD



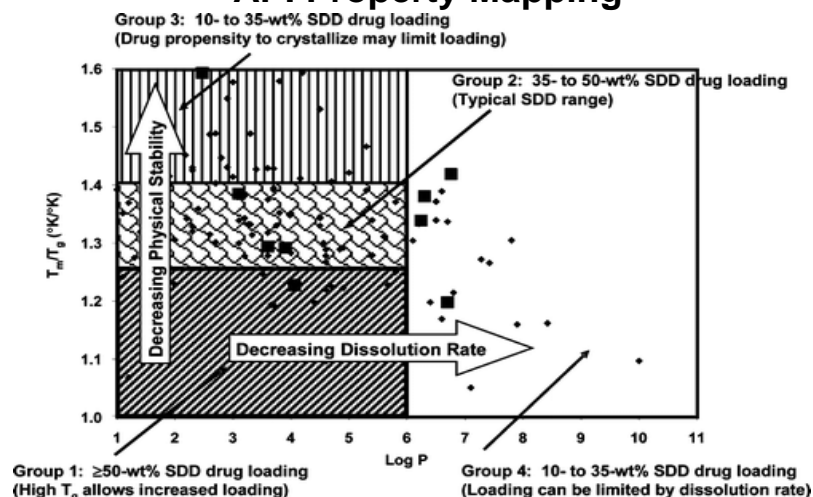
Product	Form	Mol.Wt	Tm	Tg	Tm/Tg (C/C)	Tm/Tg (K/K)	Log P	Marketed Name
Zafiralukast	Amo. API	575.7	139	98	1.4	1.1	4.8	Accolate (GSK)
Rosuvastatin Ca	Amo. API	481.5	135	102	1.3	1.1	1.5	Crestor (AZ)
Quniapril HCl	Amo. API	474.9	125	91	1.4	1.1	0.9	Accupril (Pfizer)
Nelfinavir Mes.	Amo. API	663.9	133	105	1.3	1.1	4.1	Viracept (Pfizer)
Itraconazole	ASD	705.6	166	59	2.8	1.3	5.6	Sporanox (Jansen)
Ritonavir	ASD	720.3	123	87	1.4	1.1	4.9	Norvir (Abbott)
Lopinavir	ASD	628.8	125	101	1.2	1.1	~4.3	Kaletra* (Abbott)
Telaprevir	ASD	679.9	246	105	2.3	1.4	3.5	Incivek (Vertex)
Vemurafenib	ASD	489.9	270	109	2.5	1.4	3.8	Zelboraf (Roche)

- Pure amorphous API poses much higher risk compared to ASD
- Development of stabilized ASD is preferred
- Successful commercialization of ASDs has been achieved with multiple technologies

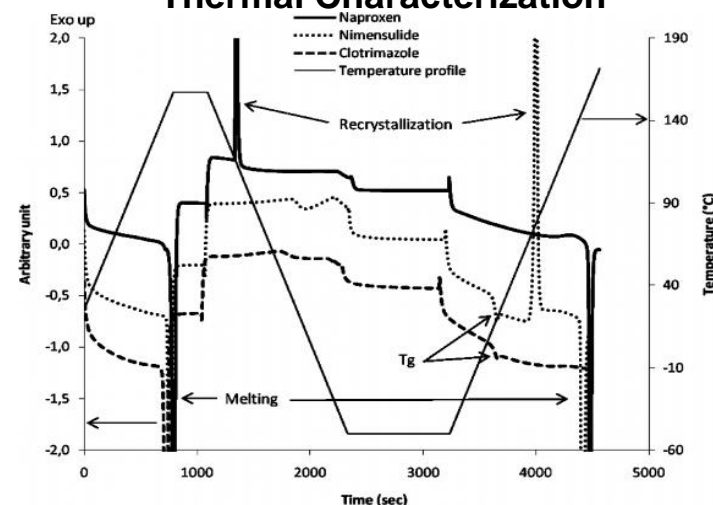
Challenges for Predicting Suitability & Stability of Amorphous Dispersions



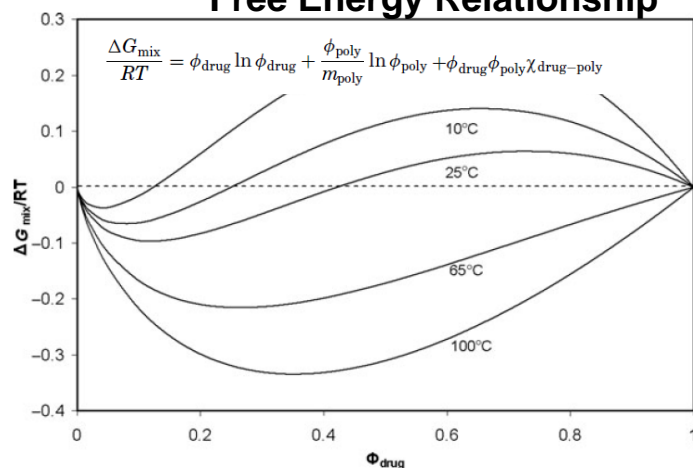
API Property Mapping



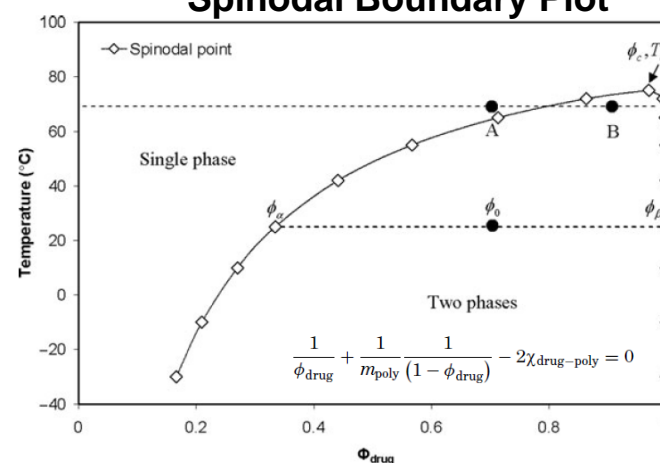
Thermal Characterization



Free Energy Relationship



Spinodal Boundary Plot

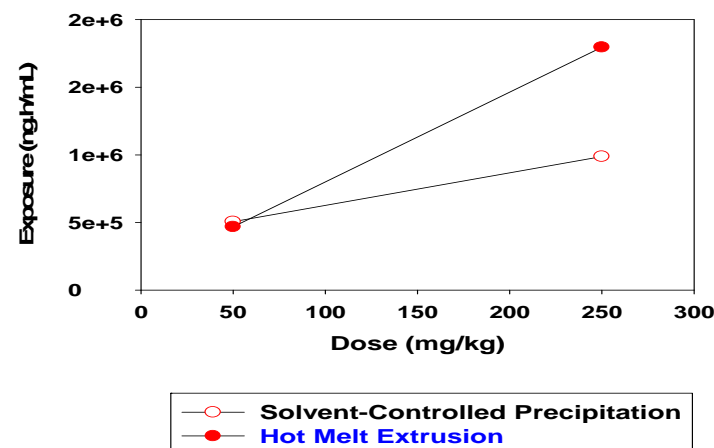
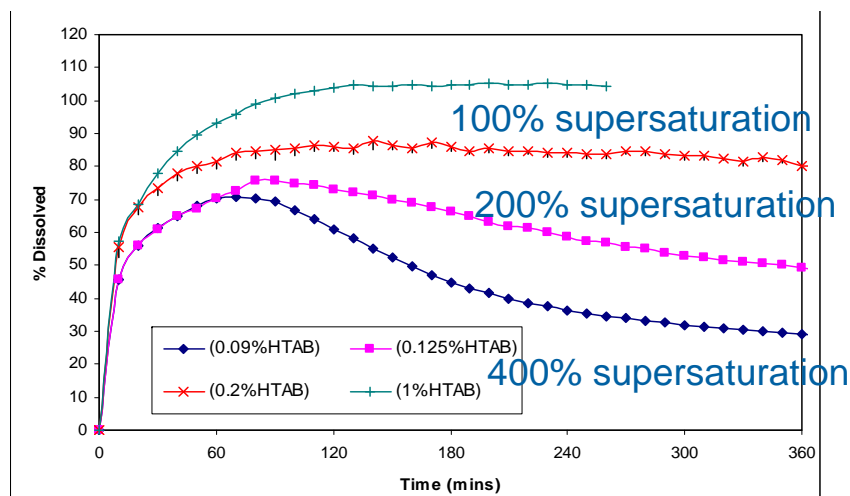
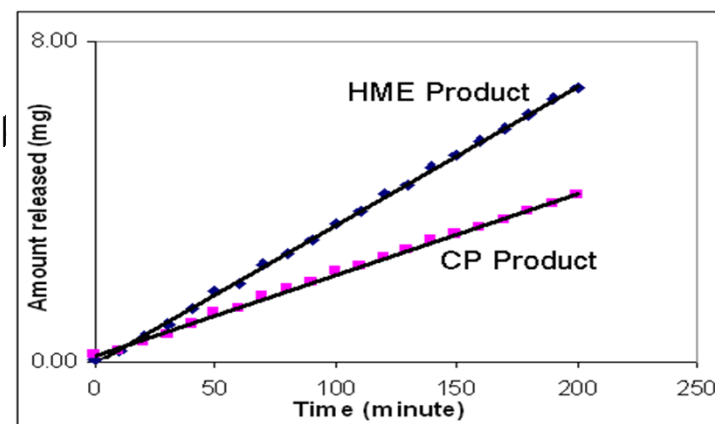


- Heating & Cooling method has an issue of decomposition of the compound with high melting point
- The compound of "easy amorphous" can be categorized into non-crystallizing compounds and has low T_m/T_g ratio
- Even if a compound has low T_m/T_g ratio and categorized as "easy amorphous", the compound can still be difficult to make amorphous

Dissolution Methods and Challenges

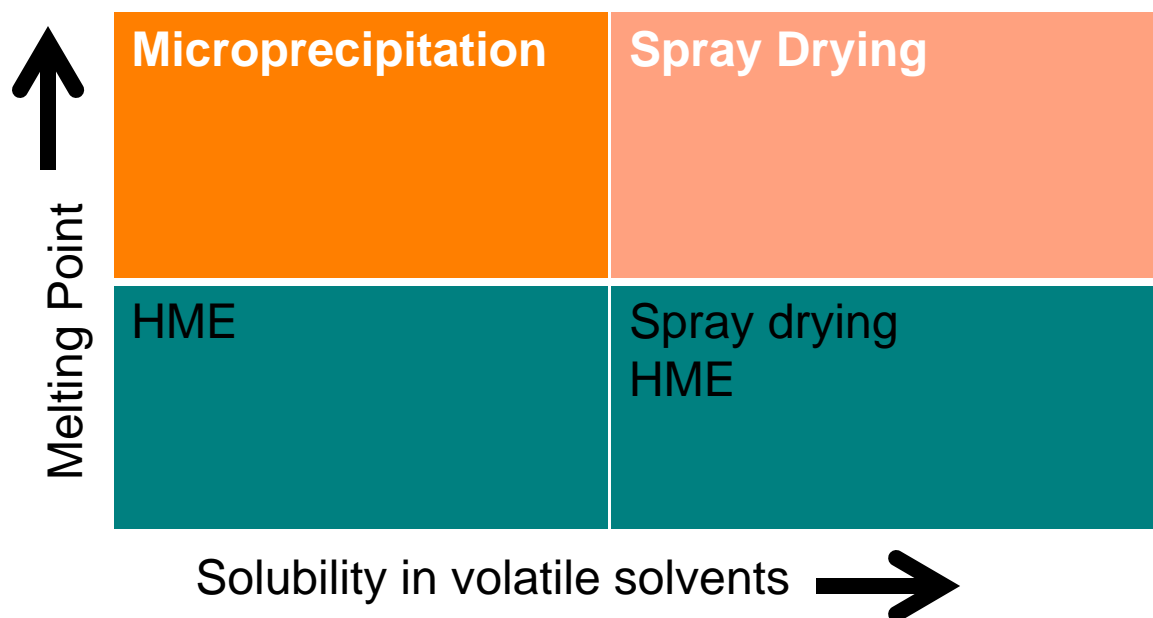


- High energy systems prone to crystallize during dissolution
- Crystallization kinetics depend on Temperature, Sink Condition and Media Composition
- Drug may be associated with polymer (free drug vs. bound drug)
- Higher supersaturation generally causes faster precipitation (lower recovery)



Judicious selection of dissolution condition is critical for “meaningful” interpretation of data

Amorphous Processing Technology Selection Guide



Compounds with melting point $< 200^{\circ}\text{C}$ could be suitable for HME and compounds with solubility $> 50 \text{ mg/mL}$ in low boiling point volatile solvent are suitable for SD

Pros & Cons of Amorphous Technologies

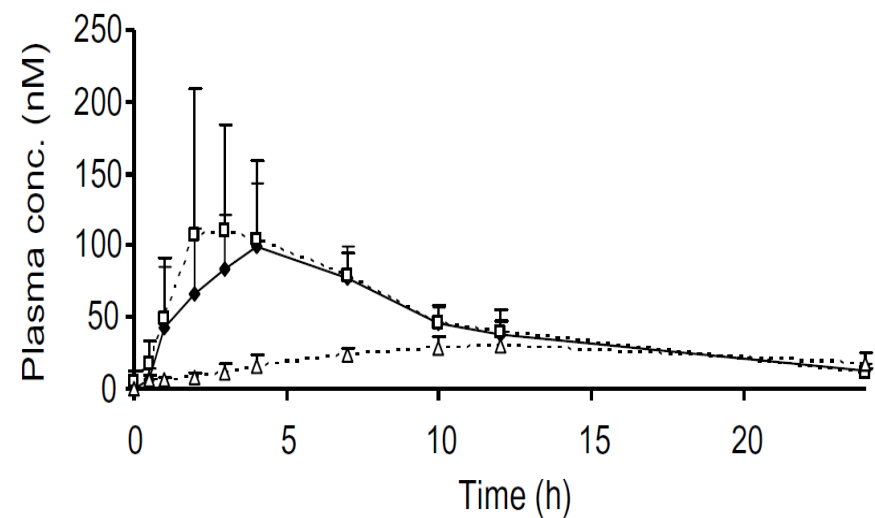
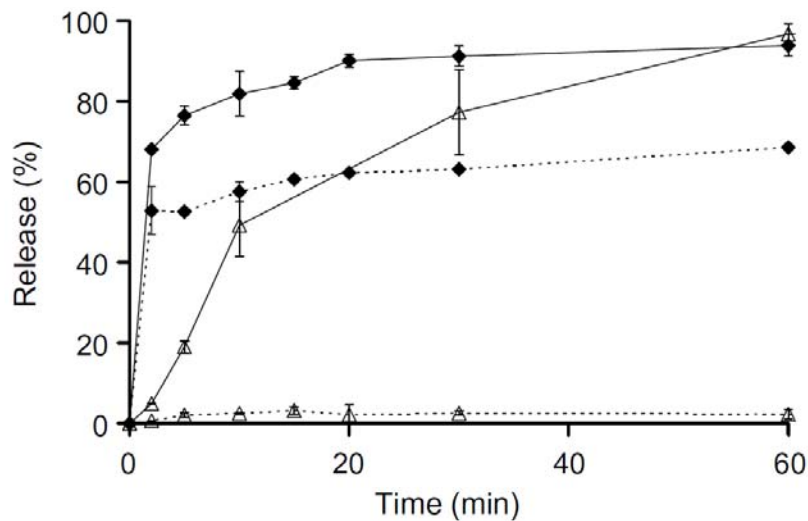
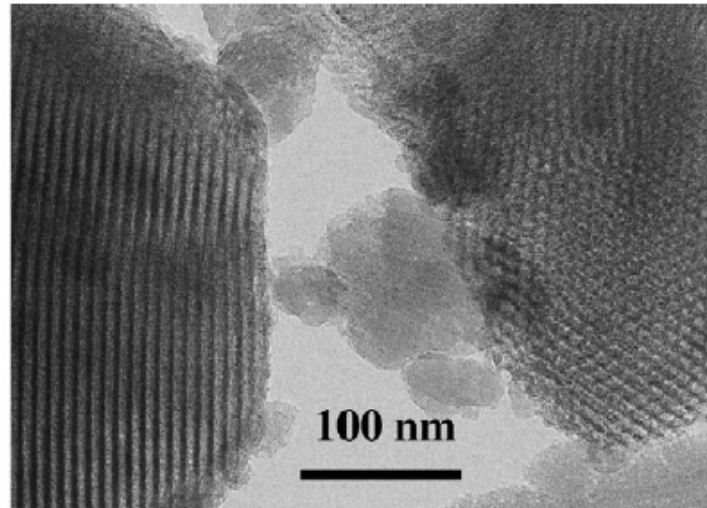
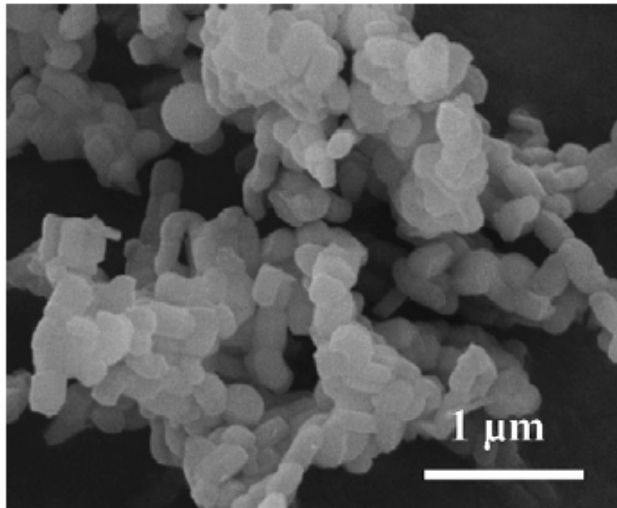
Technology	Pros	Cons
Melt Extrusion	<ul style="list-style-type: none"> Non-solvent based Short exposure to high temperature Modular design provides flexibility Extrudate density helps improve stability Continuous process Established scale-up and commercial feasibility 	<ul style="list-style-type: none"> Thermal degradation Limited application for high T_m compounds Dissolution (erosion) Reduced compactability
Spray Drying	<ul style="list-style-type: none"> Rapid removal of solvent Established scale-up and commercial feasibility Processing occurs below T_g Applicable for low boiling point, low toxicity solvents (i.e. ethanol, acetone) 	<ul style="list-style-type: none"> Requires adequate solubility in volatile solvent Residual solvent levels must be tested Phase separation may occur based on solubilities Low bulk density requires densification
Microprecipitation	<ul style="list-style-type: none"> Useful for compounds not amenable to HME or SD Provides high degree of super-saturation (ionic interaction) Modulated plasma profile due to enteric polymer Semi-continuous processing 	<ul style="list-style-type: none"> Require ionic polymers Not suitable for weakly basic drugs Solvent extraction may require multiple washings Downstream processing required Scale-up challenges exist

It is important to select the right process for the molecule, not force a process onto the compound

If necessary consider other novel technologies (i.e. mesoporous silica, KinetiSol)

Opportunities for New Technologies

Case Study with Mesoporous Silica



- Mesoporous silica can improve dissolution rates and exposure of poorly soluble compounds

Opportunities for New Technologies

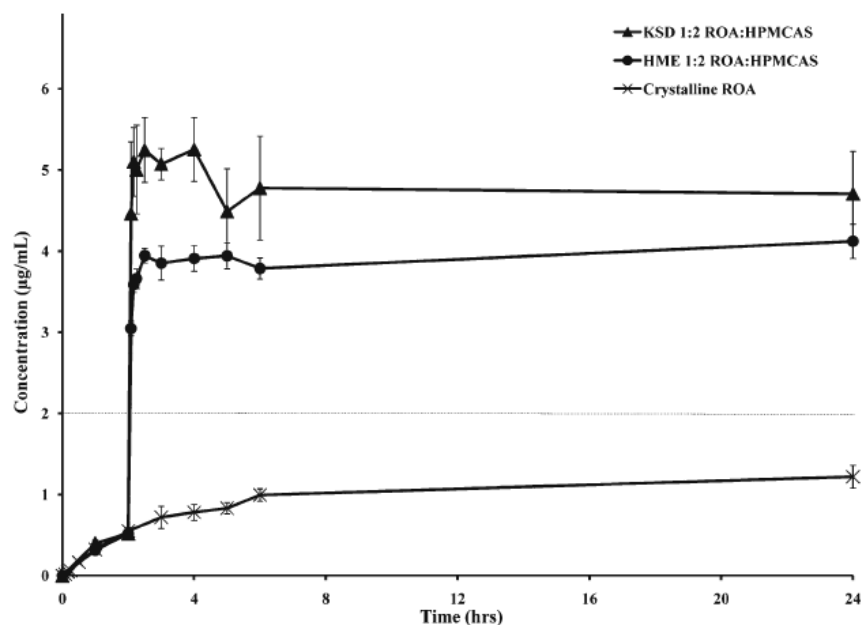
Case Study with KinetiSol

Melt Extrusion

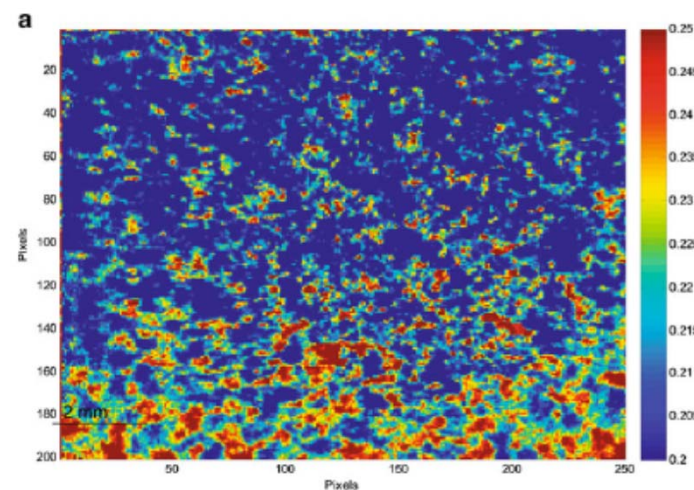
Polymer	Particle size	Temperature (°C)	Screw speed (rpm)	Recirculation time (min)	Recovery (%)	Impurities (%)
Eudragit® L100-55	Unmicronized	140	300	2	22.7±0.5	55.9
Eudragit® L100-55	Micronized	140	300	0	69.1±0.5	17.3
HPMCAS	Unmicronized	170	300	2	70.9±0.3	10.2
HPMCAS	Micronized	170	300	0	78.4±0.1	8.9

KinetiSol

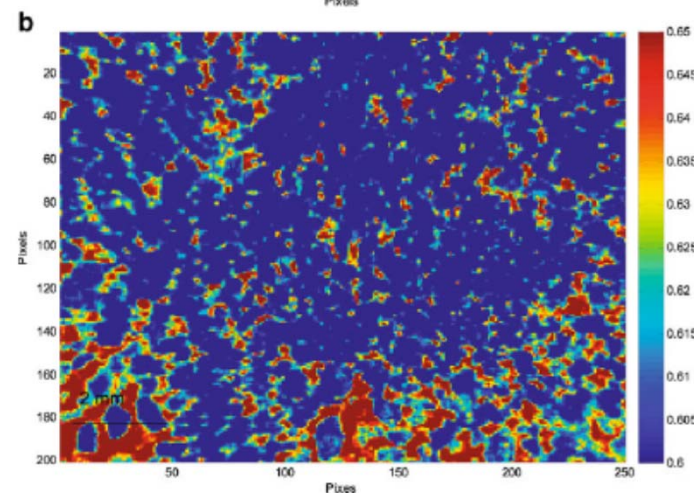
Polymer	Particle size	Speed (rpm)	Temp. (°C)	Recovery (%)	Impurities (%)
Eudragit® L100-55	Unmicronized	1,450	100	70.9±0.8	12.9
HPMCAS	Unmicronized	2,400	112	99.4±1.2	1.6



Melt Extrusion



KinetiSol®



- Application of new technologies offers the possibility to significantly expand manufacturing window

Future Directions

TODAY

Many industrial pipelines have solubility limitations

Limited number of approved excipients for solubility enhancement

Simple models and descriptors predict stability and performance of advanced systems

Batch manufacturing processes with a limited portfolio of techniques to prepare advanced systems

ACTIVES

EXCIPIENTS

MODELING

MANUFACTURING

TOMORROW

Chemistry of compounds becomes highly engineered to reduce solubility liabilities

Pharma companies and excipient manufacturers work jointly to develop excipients with unique advantages

In silico methods advance to provide computer aided design and a priori prediction

Continuous manufacturing and new technologies provide advantages to poorly soluble compounds

Summary Remarks

- Even today, poorly soluble compounds present major development challenges that may limit or even prevent a life saving medication from reaching the market
 - *Drives substantial investments in new technologies and products*
- Limitations of materials and technologies present unique opportunities for partnerships and collaborations to develop these areas
 - *Will generate new models for conducting business and developing therapies*
- True innovation allows a molecule to become a medicine

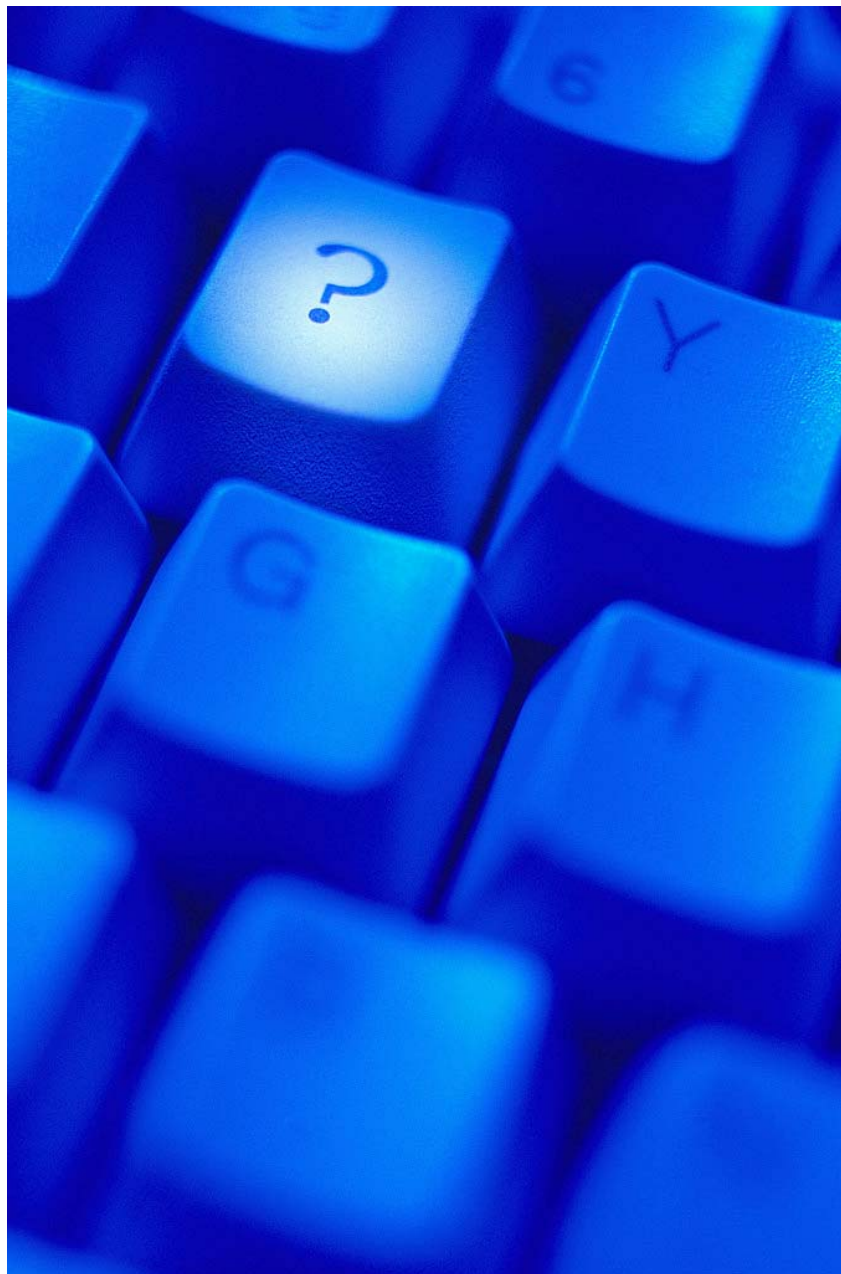
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Questions



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